## Official Signing for Applicant Organization

Helen Shepherd

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### **Business/Financial/Fiscal Official**

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### BCM-specific details for application forms:

Applicant's Name Baylor College of Medicine

Applicant's Address

One Baylor Plaza

Houston, TX 77030-3498

Department College Department's Name (not center)

Major Subdivision College of Medicine

Institutional Profile No. 0481201

Type of Organization Private Nonprofit Entity Identification No. 1741613878A1 DUNS No. 051113330

Tax I.D. No. 74-1613878

CAGE Code 9Z482
Congressional District TX-007

Human Subjects

Assurance of Compliance Number (FWA)

00000286

Expiration Date 12/19/08

Animal Welfare Assurance Number A3823-01

Assurance Date 3/10/04
AAALAC Accreditation 7/15/05
Date of DHHS Agreement 5/24/07

NIH Salary Cap \$186,600 (effective 1/01/07)

#### **BCM Indirect Costs**

The following facilities and administrative cost rates apply to grants, contracts, and other agreements funded by federal government agencies. The rates listed below should be used for applications to all federal government agencies when requesting support for research or instruction projects unless there is a statutory limit at a rate lower than the negotiated rate.

# **Baylor On-Campus and Off-Campus Rates**

Research Rates	7/01/07 thru 6/30/10	Date of DHHS Agreement			
Baylor On-Campus Baylor Off-Campus	53.5% 27.1%	05/24/07 05/24/07			
Instruction rates					
Baylor On-Campus Baylor Off-Campus	35.0% 26.0%	05/24/07 05/24/07			
Other Sponsored Activities					
Baylor On-Campus Baylor Off-Campus	37.0% 26.0%	05/24/07 05/24/07			
Please note that the VAMC is considered on-campus.					

#### **Rates at Affiliated Institutions**

Baylor College of Medicine receives awards for work performed by Baylor employees in affiliated hospitals including St. Luke's, Methodist, Texas Children's, The Institute for Rehabilitation and Research, and Harris County Hospital District. Each of these hospitals has an affiliate rate which should be added to the Baylor off-campus rate for those awards to Baylor College of Medicine that are performed at the affiliated hospital. These affiliated rates are listed below:

Location	<b>Baylor Off-Campus Rate</b>	<b>Affiliate Rate</b>	Total	Affiliate DHHS Rate Date
HCHD	27.1%	22.7%	49.8%	10/05/2004
TCH	27.1%	26.4%	53.5%	06/11/2007
TIRR	27.1%	12.0%	39.1%	07/01/2004
TMH	27.1%	25.2%	52.3%	03/08/2007
St. Luke's	27.1%	0	27.1%	08/04/2004
CNRC	26.0%	11.0%	37.0%	05/24/2007

#### **BCM NON-GOVERNMENT FACILITIES AND ADMINISTRATIVE COST RATES**

Baylor College of Medicine accepts awards and gifts from non-government, non-profit sponsors. Those awards that are received from non-government, non-profit sponsors and donors are accepted at the rate established by respective sponsor or donor.

#### **Basic Research**

Effective May 24, 2007, a rate of 53.5% is applicable to all gifts, grants, contracts, and other agreements for research received from for-profit sponsors and donors.

## **January 7, 2008**

This 53.5% rate is applied regardless of location of the work. The for-profit rate of 53.5% is applied against a Modified Total Direct Cost (MTDC) Base.

#### **Clinical Trials**

Clinical trials sponsored by for-profit entities are charged 30.0% applied against a Total Direct Cost (TDC) Base.

## **DEFINITIONS**

The following definitions may be helpful in determining your appropriate indirect cost rate:

## **Total Direct Cost (TDC) Base:**

All costs directly charged to the project.

## Modified Total Direct Cost (MTDC) Base:

All salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000. The oncampus rate shall be applied to the first \$25,000 of each subcontract.

## **Equipment:**

An article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

# **Baylor Off-Campus:**

For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s), the off-campus rate will apply. Actual costs will be apportioned between on-campus and off-campus components. Each portion will bear the appropriate rate.

# **Baylor On-Campus:**

For all activities performed at the locations listed below, the Baylor on-campus rate will apply:

The Baylor main buildings, the Baylor Faculty Center, the Baylor Clinic, the Michael E. DeBakey Veterans Affairs Medical Center, Alkek Tower (at Fondren-Brown), The Neurosensory Center, the Family Practice Center, the McGovern/Nabisco Building, Scurlock Tower, and Smith Tower.

## **Summary**

Baylor's facilities and administrative cost rates are established in accordance with the cost principles outlined in OMB Circular A-21 and agreed upon in negotiation with the federal government. All proposals must request the rates shown unless the Senior Vice President and Dean of Research has approved a reduced rate.